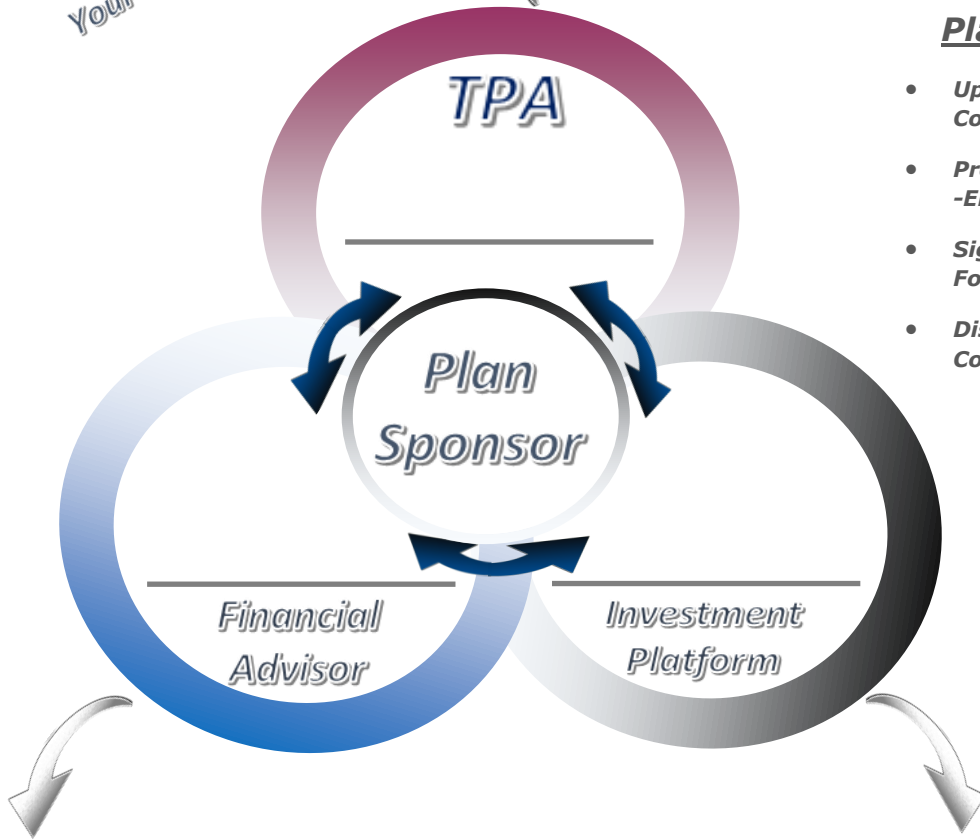


**3-Leg Stool**  
Your Team.. Your Goals.. Our Focus



- **Plan Design and Consulting on Employer Funding Allocation**
- **Full Administration & Government Compliance Testing**
- **Set-up, Approval & Amortization of Loans; Distribution Execution**
- **Maintenance of Plan Documents & Preparation of Annual Notices**

**Plan Sponsor:**

- **Upload Payroll Contributions**
- **Provide Accurate Year-End Census Data**
- **Sign/Date all Docs, Forms & 5500s**
- **Distribute Employee Communications**

- **Advice/Guidance on Investments Available to the Plan**
- **Ongoing Monitoring of Investments**
- **Participant Advice/Guidance & Enrollment Coordination**
- **Coordination of Service Provider Reviews**
- **Fiduciary Best Practices for Employer**

- **Offers Investment Options for Plan & Individual Employees**
- **Daily Valuation of Investments**
- **Accounting of Participant's Assets by Source**
- **Employer/Employee Websites; Access to Information & Tools**
- **Provides new Fee Disclosure Information (408b2 & 404a5)**